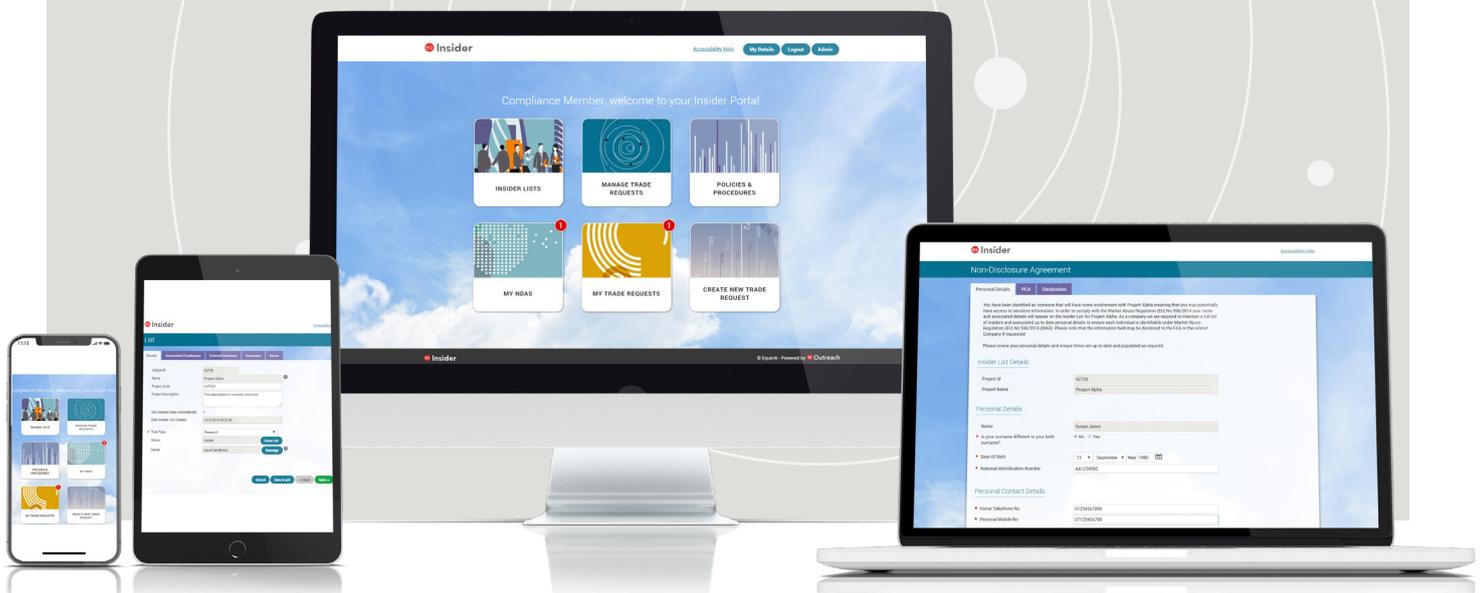




Helping you to demonstrate compliance with the Financial Conduct Authority's Market Abuse Regulation (MAR)



EQ Insider allows organisations to create and manage their insider lists securely online, automating business processes and helping to demonstrate compliance with the Financial Conduct Authority's (FCA) Market Abuse Regulation (MAR). EQ Insider allows your organisation to connect, communicate and monitor those individuals needing permission to deal, using a fully auditable system that enables you to provide the FCA with all necessary information through the automatic generation of MAR-compliant reports.

Our fully self-service product allows you to seamlessly configure your own system in response to your changing business needs after the initial deployment, for example configuring email templates, help and guidance, blackout periods, and the management of users and roles.

EQ Insider contains an out-of-the-box permission to deal process, with configurable role-specific workflow if required, allowing you to tailor the process to your own FCA-compliant dealing code. Instead of having to manage lists and approval workflows through emails, spreadsheets, and pages of signed NDAs, EQ Insider's clean user interface – along with Equiniti's global reputation – are justifying why it is fast becoming the tool of choice for FTSE-listed organisations.

Core product functionality includes:



Creating and managing a permanent insider list



Producing MAR compliant reports



Creating and managing project-specific lists



A Permission To Deal process with configurable, role-specific workflows



Automated email notifications and reminders



Configuration of blackout periods



NDAs digitally distributed for eSignature



Manual and automatic approval steps



A full audit trail across the entire system



Capturing trade details



Email configuration



Dashboard summaries



Benefits to EQ Insider Users

EQ Insider delivers value through:

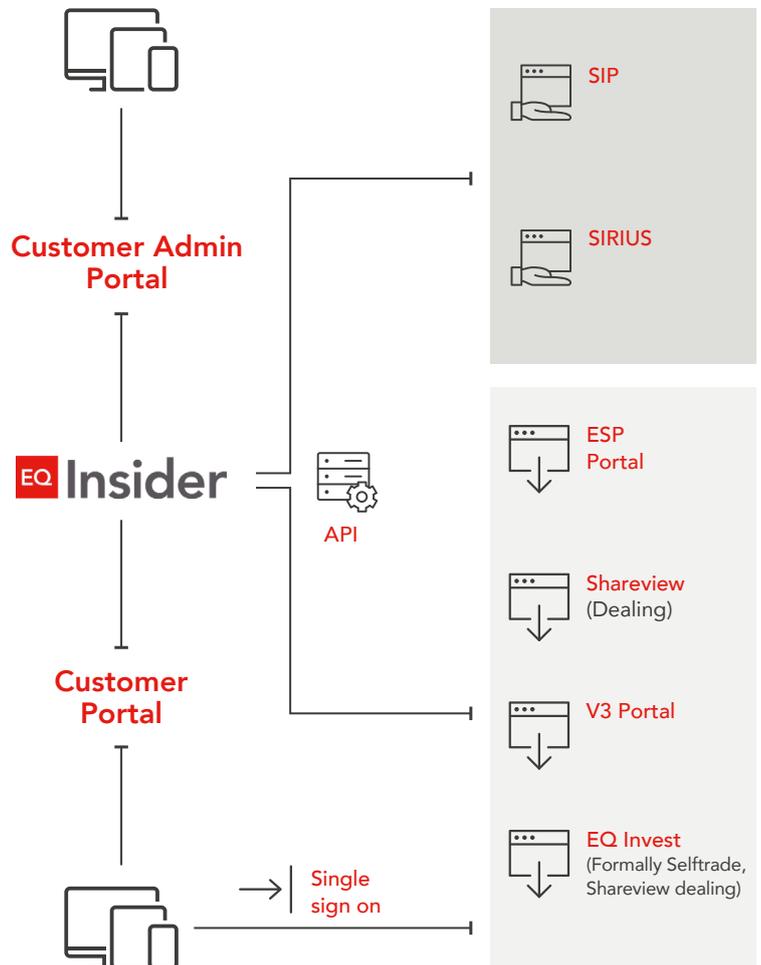
Self Serve Configuration – Providing full flexibility at our customer's finger tips allowing the complete configurability of their system utilising the admin console.

Exceptional Customer Experience – Intuitive customer interface delivering an exceptional, digital user experience for both admin and user profiles.

Process Simplification – Consultative approach to reviewing and simplifying processes in relation to managing regulatory challenge.

Continued Investment – EQ Insider has ongoing investment committed allowing us to continually develop its capabilities inline with the FCA's regulatory standards.

Planned Roadmap for integrations



Customer support and security

We are proud to maintain all of our security accreditations. The quality standards required for us to deliver EQ Insider are as follows:

ISO 27001:2013 – Information Security – To ensure we safeguard the availability, confidentiality and integrity of all information, whether in data format or otherwise

ISO 27017:2015 – Information Security Controls – To ensure we abide by cloud security principles

ISO 27018:2014 – Protection of Personal Data in the Cloud – To ensure we understand and control PII that we manage within our cloud-hosted systems

ISO 9001:2015 – Quality Management – A clear quality methodology underpins our procedures and operating practices

Cyber Essentials Plus – Independently verified by a Certification Body that we protect our systems against a wide variety of the most common cyber-attacks, and we are listed within the directory of the National Cyber Security Centre (within the U.K).

70% of the FTSE 100 and 15% of the NYSE rely on us to help them respond to the challenges of an increasingly complex and regulated world. We do this by providing specialist solutions, powered by technology we've developed and built.

For more details



EQUINITI